



The Uplands Program

Research for Sustainable Land Use and Rural Development in Mountainous Regions of
Southeast Asia
Funded by DFG

Subproject F2.4: Evaluation of Targeting Efficiency and Impact of Rural Finance and Land Allocation

2nd round - Benchmark Household Questionnaire (Adapted version for Vietnam)

A. Household Identification

A1. Date of Interview (dd/mm/yy):/...../2010

Code

A2. Commune name:

A3. Village name:

A4. Household Code:(put this number on bottom of every page)

A5. Name of Household Head (first name, family name) :I.D. Code : 01

A6. Name of Respondent:

A7. Name of Interviewer:

A8. Name of Supervisor:

A9. Date questionnaire checked by supervisor (dd/mm/yy):/...../2010

A10. Supervisor signature:

Comments:

Household ID:

1. Weekly Expenditures:

1.1. In the past 7 days, has any member of your household spent money on any of the following items?			1.2. How much did your household spend for [ITEM]?
ITEM	Yes 1 No 2	CODE	THOUSAND VND

1. Tobacco, cigarettes, betel, betel nuts		101	
2. Newspapers		102	
3. Lottery		103	
4. Fares for busses, taxis, etc.		104	
5. Parking fees (bicycle, motorcycle etc.)		105	
6. Gasoline		106	
7. Worship		107	
8. Alms/gifts to beggars		108	
9. Shoe shines		109	
10. Sport cost		110	

HH mem. ID (from S2 Q1)	1.3. Did this member took ANY meal (incl. snacks, breakfast, etc.) outside in the last 7 days	1.4. Breakfast		1.5. Lunch		1.6. Dinner/ Supper		1.7. Snacks	
	Yes.....1 No.....2	Nb	Total value ‘000 VND	Nb	Total value ‘000 VND	Nb	Total value ‘000 VND	Nb	Total value ‘000 VND

1									
2									
3									
4									
5									
6									
7									
8									
9									
10									

Indications to the interviewer

Nature of meal	Ave. value of Lunch/dinner/ cap.	Ave. value of Breakfast/ cap
1. Special	30.000 VND	
2. Normal	20.000 VND	
3. Simple	10.000 VND	

Household ID:

2. FOOD EXPENDITURES:

2.1.a. Did you have visitors since our last visit for whom you prepared meals?

Yes..... 1

No.....2 >>2.2

b. If Yes, Number of visitors?

Total number of meals (proportions) served to the visitors?

			PURCHASES SINCE OUR LAST VISIT <i>If last visit was <12 days OR >17 days ago, ask, during the LAST 14 DAYS</i>			PURCHASES TYPICAL MONTH		HOME PRODUCTION			GIFTS		
	2.2. Has your household consumed [ITEM] during the past 12 months? Please exclude from your answer [ITEM] purchased for processing or resale in a household enterprise. ASK THIS QUESTION FOR ALL ITEMS , THEN ASK Q.3-11 WHEN THE ANSWER IS YES. <div>Yes.....1 No.....2</div>		2.3. Have the members of your household bought any [ITEM] during the last 14 days? <div>Yes.. 1 No ...2 >>6</div>	2.4. How much did you pay in total?	2.5. How much did you buy? <i>Code 2.5 1 = kg 2 = liter 3 = number</i>	2.6. How many months in the past 12 months did your household purchase [ITEM]?	2.7. How much do you usually spend on [ITEM] in one of the months that you purchase it?	2.8. How many months in the past 12 months did your household consume [ITEM] that you grew or produced at home? If none, write 0 and >> 11 .	2.9. How much did you consume in a typical month ? <i>Code2.9 1 = kg 2 = liter 3 = number</i>	2.10. What was the value of the [ITEM] you consumed in a typical month from your own production?	2.11. What is the total value of the [ITEM] consumed that you received as a gift over the past 12 months ? If none, write 0.		
CODE	ITEM	CONSUMED	BOUGHT	THOUSAND VND	A. AMT	B. UNIT	MONTHS	THOUSAND VND	MONTHS	A. AMT	B. UNIT	THOUSAND VND	THOUSAND VND

	CEREALS	-	-	-	-	-	-	-	-	-	-	-	-
1	Ordinary rice												
2	Fragrant rice & H'mong rice												
3	Sticky rice												
4	Maize												
5	Other Cereals (incl. sorghum)												

Household ID:

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	PULSES	-	-	-	-	-	-	-	-	-	-	-	-
6	Green bean												
7	Black bean												
8	Other Pulses												
	OIL SEEDS	-	-	-	-	-	-	-	-	-	-	-	-
9	Soybean												
10	Ground nut												
11	Sesame												
12	Other oil seeds												
	ROOTS, TUBERS & VEGETABLES	-	-	-	-	-	-	-	-	-	-	-	-
13	Potatoes												
14	Sweet Potatoes												
15	Cassava												

Household ID:

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16	Carrots												
17	Kohlrabi												
18	Bamboo shoot												
19	Other roots and tubers												
20	Cabbage, cauliflower												
21	Tomatoes												
22	Water morning glory												
23	Green leafy vegetables												
24	Watercress												
25	Various kinds of fresh pea and beans												
26	Pumpkin, calabash, chayote, zucchini, bitter melon...												

Household ID:

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27	Other vegetables												
	ANIMAL PRODUCTS	-	-	-	-	-	-	-	-	-	-	-	-
28	Beef/Buffalo												
29	Pork												
30	Poultry												
31	Processed meat												
32	Other meat (goat, dog, bird...)												
33	Animal fat												
34	Fresh fish, shirmp												
35	Dried fish and shrimp												
36	Processed fish, shrimp												
37	Other seafoods												

Household ID:

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	(crabs, snails...)												
38	Eggs												
39	Other animal products (insects...)												
40	Fresh milk												
41	Condensed milk, Milk Powder, Baby formula												
42	Yogurt												
43	Other milk products												
	FRUITS	-	-	-	-	-	-	-	-	-	-	-	-
44	Bananas												
45	Papaya												
46	Citrus Fruits												
47	Mangoes												

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48	Longan, litchi												
49	Other Fruits (rambuta, melon...)												
	SPICES & CONDIMENTS	-	-	-	-	-	-	-	-	-	-	-	-
50	Onion												
51	Garlic												
52	Ginger												
53	Lemongrass												
54	Other spices												
55	Salt												
56	Food seasoning												
57	Powdered soup												
58	Fish sauce and other sauces												

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59	Vegetable oil												
	SWEETENERS	-	-	-	-	-	-	-	-	-	-	-	-
60	White sugar												
61	Brown sugar, molasse, honey												
	BEVERAGES	-	-	-	-	-	-	-	-	-	-	-	-
62	Instant Tea/coffee												
63	Soft drinks / fruit juices												
64	Beer												
65	Liquors												
66	Other alcoholic beverages												
	PREPARED FOODS CONSUMED	-	-	-	-	-	-	-	-	-	-	-	-
67	Dry green tea leaves												

Household ID:

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68	Fresh green tea leaves												
69	Coffee powder to be prepared at home												
70	Bread, flour												
71	Noodles												
72	Instant rice soup												
73	Fried fruits												
74	Tofu												
75	Biscuits and cakes, candy												
76	Misc. other food expenses												
	FREQ. BOUGHT COOKING FUELS	-	-	-	-	-	-	-	-	-	-	-	-
77	Firewood												
78	Leaves, grass, rice husks, stubble,												

Household ID:

		PURCHASES SINCE OUR LAST VISIT <i>If last visit was <12 days OR >17 days ago, ask, during the LAST 14 DAYS</i>					PURCHASES TYPICAL MONTH		HOME PRODUCTION				GIFTS
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	straw, stems...												
79	Bottled gas												
80	Other fuel except electricity and biogas												

Conversion rates for foods and other consumption goods (according to VLSS 2004)

1kg rice paddy ~ 0.7 kg of rice
 3 kg of fresh sweet potato or cassava ~ 1 kg of dried sweet potato or cassava
 1 kg of live pig ~ 0.7 kg of pig without internal organs ~ 0.5 kg of pig without internal organs, bones and head
 1 kg of live cow ~ 0.4 kg of cow without internal organs
 1 kg of live buffalo ~ 0.3 kg of buffalo without internal organs
 1 kg of chicken ~ 0.7 kg of processed chicken
 3 kg of fresh shrimp or fish ~ 1 kg of dried shrimp or fish
 12 kg of fresh tea buds ~ 1 kg of dried tea buds
 4.6 kg fresh coffee beans ~ 1 kg dried coffee beans
 1 kg of fresh maize with cob ~ 0.2 kg of dried seed

1 kg of dried maize with cob ~ 0.6 kg of dried seed

Household ID:

3. Non-Food Expenditures:

PURCHASES 12 MONTHS			GIFTS 12 MONTHS		
<p>3.1. In the following questions, I want to ask about all purchases made for your household, regardless of which person made them.</p> <p>Has your household bought, spent money on [ITEM] during the past 12 months? Please exclude from your answer any [ITEM] purchased for processing or resale in a household enterprise.</p> <p>AFTER ASKING Q.3.1 ASK Q.3.3</p>			<p>3.2. How much did your household spend for [ITEM] during the past 12 months?</p>	<p>3.3. Did you receive any [ITEM] as a gift during the past 12 months?</p>	<p>3.4. What is the value of all the [ITEM] that you received as a gift during the past 12 months?</p>
CODE	ITEMS	YES...1 NO...2 (>>Q3.3)	THOUSAND VND	YES...1 NO...2 (>>NEXT ITEM)	THOUSAND VND

1.	Personal care items (soap, shampoo, toothpaste, etc.)				
2.	Personal services (haircuts, shaving, manicures, etc.)				
3.	Cosmetics				
4.	Cloth and sewing supplies				
5.	Tailoring expenses				
6.	Women's clothing				
7.	Men's clothing				
8.	Children's clothing				
9.	Laundry				
10.	Household linens (sheets, blankets, towels, etc.)				
11.	Women's footwear				
12.	Men's footwear				

Household ID:

PURCHASES 12 MONTHS			GIFTS 12 MONTHS		
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CODE	ITEMS	YES...1 NO...2 (>>Q3.3)	THOUSAND VND	YES...1 NO...2 (>>NEXT ITEM)	THOUSAND VND

13.	Children's footwear				
14.	Bag, papercase				
15.	Household cleaning articles and toilet supplies (soap, washing powder, bleach, broom etc.)				
16.	Small kitchen appliances, dishes, kitchen utensils and kitchen supplies (blender, mixer, etc.)				
17.	Electrical items (light bulbs, cords, plugs, batteries, etc.)				
18.	Small electrical appliances excluding cooking appliances (radio, walkman, watch, clock, etc.)				
19.	Repairs and maintenance of household articles (e.g. nails, hammer, etc.)				
20.	Repair and maintenance of the house				
21.	Books (e.g. novel, magazine. Excluding textbooks)				
22.	Postal expenses, telegrams, etc.				
23.	Entertainment (cinema, cassette/ VCD rentals, cultural and sporting events, etc.)				
24.	Excursion, holiday (including travel and lodging)				

Household ID:

PURCHASES 12 MONTHS			GIFTS 12 MONTHS		
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CODE	ITEMS	YES...1 NO...2 (>>Q3.3)	THOUSAND VND	YES...1 NO...2 (>>NEXT ITEM)	THOUSAND VND

25.	Sports and hobby equipment				
26.	Toys				
27.	Musical instruments				
28.	Vehicle repair, maintenance, parts and licenses (do not include gasoline)				
29.	Insurance (auto, property)				
30.	Insurance (health, education....)				
31.	Legal or notary services (e.g. ID Card, licence etc.)				
32.	Membership fees (e.g. to cooperation)				
33.	Charity, donations				
34.	Tax (Income tax, tax on living plot, Housing and property taxes...)				
35.	Cash losses (incl. gambling losses)				
36.	Marriages, births and other ceremonies				
37.	Funeral expenses				

Household ID:

PURCHASES 12 MONTHS				GIFTS 12 MONTHS	
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CODE	ITEMS	YES...1 NO...2 (>>Q3.3)	THOUSAND VND	YES...1 NO...2 (>>NEXT ITEM)	THOUSAND VND

38.	Deposits to savings accounts				
39.	Jewelry				
40.	Others				
41.	Other savings				

4. Durable Goods:

<p>4.1. Does your household own any of the following assets <u>at present</u>?</p> <p>(read Code 1 and make stick for assets that the hh has)</p>	<p>4.2. Number owned?</p> <p>(ask only for items marked with * in the list)</p>	<p>4.3. When was it acquired?</p> <p>(ask only for items marked with * in the list)</p> <p>YEAR</p>	<p>4.4. Total value at the current price?</p> <p>THOUSAND VND</p>
--	--	--	---

[illegible]

- | | |
|--|---|
| | 1= Trunk / Suitcase |
| | 2= Buckets / Pots |
| | 3= Metal cooking pots |
| | 4= Gas cooker* |
| | 5= Bed |
| | 6= Cupboard |
| | 7= Wardrobe of any kind* |
| | 8= Living room set* |
| | 9= Electric fans* |
| | 10= Iron |
| | 11= Wall clock / Watch |
| | 12= Sewing/knitting machine* |
| | 13= Water filters* |
| | 14= Radio, radio receiver, phonograph* |
| | 15= Telephone sets* |
| | 16= Mobilephones* |
| | 17=Cassette player/CD player (music)* |
| | 18= Computer (including Fax, Scanner, printer etc.)* |
| | 19= Video player (VCR,VCD, etc) * |
| | 20= Camera, video camera* |
| | 21= Color Television* |
| | 22= Black and White Television* |
| | 23= Satellite dish* |
| | 24= Bicycle* |
| | 25= Motorcycle* |
| | 26= Car* |
| | 27= Other Motor vehicle* |
| | 28= Electric cookers, rice cookers, pressure cookers* |
| | 29= Gas stove, electric stove* |
| | 30= Refrigerators, freezers* |
| | 31= Washing machine, dries* |
| | 32= Water heaters* |
| | 33= Air conditioner* |
| | 34=Safe* |
| | 35=Other* (specify)_____ |

* : Ask value, quantity, number

5. Remittances:

Interviewer: if person from the HH roster, note down member ID

5.1. **During the past 12 months**, has any member of your household provided money or goods to person who are not members of your household? For example, for relatives living elsewhere, child support or alimony, or to friends and neighbors, for holidays, Tet....?

YES.....1 >> ask 5.2 – 5.5

NO.....2 >> NEXT SECTION

	<p>5.2. What are the names of the persons to whom household members have sent assistance during the past 12 months?</p> <div style="border: 1px solid black; padding: 5px; margin: 10px auto; width: 150px;"> <p>LIST ALL NAMES BEFORE GOING TO 5.3-5.5</p> </div>	<p>5.3. How much money have members of the household sent to [RECIPIENT] in the past 12 months?</p>	<p>5.4. Did you also send any food or other goods to [RECIPIENT] in the past twelve months?</p> <p>Yes...1 No...2 (>> next person)</p>	<p>5.5. What is the approximate value of the food or other goods sent?</p>
	NAME	THOUSAND VND		THOUSAND VND
A.				
B.				
C.				
D.				
E.				
F.	Total custom/holidays presents (Tet, etc.)			

Household ID:

6. Education

6.1. **During the past 12 months**, has your household had any expenses on education (fees, uniforms, textbooks, or other materials) for any of its members?

YES.....1 >> ask 6.2

NO.....2 >> NEXT SECTION

☐

6.2. How much has your household spent in the last 12 months on each of the following education expenditures:

	THOUSAND VND
A. Tuition and other required fees	
B. Contribution to school, class (construction fund, parents fund...)	
C. Uniforms and other clothing	
D. Textbooks, exercise and reference books	
E. Other educational material (stationary like paper, pens, etc.)	
F. Meals and lodging at school	
G. Transportation to and from school	
H. Other expenses (extra classes, optional fees)	

Household ID:

7. Health:

7.1. During the past 12 months, has your HH had any expenditure on health, such as fees for visits to doctors, health clinics, or traditional practitioners, or to pay for medicines or other materials?

YES.....1 >> ask 7.2-7.3

NO.....2 >> NEXT SECTION

<p>7.2. In the past 12 months, did you or any member of your household ...</p>	<p>7.3. How much did your household spend for [ITEM] during the past 12 months for all costs associated with these visits/stays including medicines prescribed during these visits even if purchased elsewhere.</p> <p>Exclude transport costs. Exclude costs reimbursed by insurance.</p>			
	<table border="1"> <tr> <td>Yes 1</td> <td rowspan="2">THOUSAND VND</td> </tr> <tr> <td>No 2</td> </tr> </table>	Yes 1	THOUSAND VND	No 2
Yes 1	THOUSAND VND			
No 2				
A. Visit any public hospital or health station to obtain outpatient health care?				
B. Visit any private hospital or health station to obtain outpatient health care?				
C. Visit any private doctor to obtain outpatient health care?				
D. Visit any private nurse, paramedic or midwife to obtain health care?				
E. Visit any traditional health practitioner to obtain health care?				
F. Stay at a public hospital or health clinic overnight?				
G. Stay at a private hospital or health clinic overnight?				
H. Purchase any medicines on your own, i.e. without a prescription/consulting a doctor first?				

Household ID:

8. In-Kind Payments:

8.1. **During the past 12 months**, did any member of your household **work** for a private company, the government or another individual?

YES.....1 >> ask 8.2 - 8.5

NO.....2 >> END

8.2. If yes, who are the household members who did such work?	8.3. Was any part of their payment received in the form of food, clothing, housing or transportation to and from work?	8.4. If yes, what was the value of these payments? Over what time interval?		
ID (from family roster Q1)	YES 1 NO 2 (>> next person)	A. THOUSAND VND	B. TIME UNIT	C. NUMBER OF TIME UNITS
A.				
B.				
C.				
D.				
E.				

Time Units:	Day.....1	Month.....4	Year.....7
	Week....2	Quarter.....5	
	Fortnight...3	Half Year...6	

Household ID: