



The Uplands Program

Research for Sustainable Land Use and Rural Development
in Mountainous Regions of Southeast Asia
Funded by DFG

Subproject F2.3 : Targeting efficiency and impact assessment of rural credit and land allocation

1st Round: Composite Questionnaire – Household Survey – Vietnam 2007

I. Identification

1.1. Date of Interview :

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Code

1.2. Commune name

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1.3. Village name

--

1.4. Household identification number

(please write this number on all pages)

1.5. Name of the Household head

1.6. Name of respondents

(Name and ID)

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1.7. Ethnic group of the household head

Thai..... 1

Kinh..... 2

Hmong..... 3

Kho mu..... 4

Sinh Mun..... 5

Other (specify)..... 6

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1.8. Ethnic group of the spouse of the household head (See code above)

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1.9. Interviewer name and code

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1.10. Supervisor name and code

--

1.11. Date checked by supervisor

____/____/____

Signature of the supervisor

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Note to the interviewer : A household consist of all people who live under the same roof, eat from the same pot and share expenditures. A person is not considered as a member if she spent more than 3 months away in the past 12 months.

Household id : _____

2. Household Roster

ID	2.1. Name	2.2 Sex 1= Male 2= Female	2.3. Age	2.4. Relation to hh head (code 1)	2.5. Marital Status (code 2)	2.6. Can read/ write (code 3)	2.7. What languages does this member speak? (code 4)				2.8. Highest class passed (code 5)	2.9. <i>If children from 6- 15 years old</i> (i.e. if born between 1992 and 2001) Is he/she regularly going to school ? (code 6)	2.10. <i>If 2.8.>1</i> Why ? (code 7)	<i>Ask only in the 2nd visit</i>	
							1 st lang.	2nd	3rd	4th				Was this member always present in the household since last visit? 1= Yes 2= No	If no, how many nights not present in the hh since last visit?
1				1											
2															
3															
4															
5															
6															
7															
8															
9															
10															
11															
12															

Code 1 Relation to hh head

Household head.....1
Spouse.....2
Son or daughter.....3
Father or mother.....4
Grandparent.....5
Grandchild.....6
Brother or sister.....7
Other relative.....8
Other non relative.....9

Code 2 Marital status

Single.....1
Married with spouse permanently
present in the household.....2
Married with the spouse
migrant.....3
Widow / widower.....4
Divorced / separated.....5

Code 3 Read/write

Cannot read or write.....1
Can read only.....2
Can read and write.....3

Code 4 Languages

Kinh.....1
Thai.....2
Hmong.....3
Kho Mu.....4
Sinh Mun.....5
English/French.....6
Other.....7

Code 5 Education

Never attended school.....99
Read in 1st year of Primary school..... 0
**Put the number of the highest class
passed (1-8)**
Secondary degree.....9
Vocational diploma.....10
High school certificate.....11
High education degree.....12
Bachelor degree.....13
Master and more.....14

Code 6 School attendance

Regularly.....1
Not Regularly.....2
Children attended to school before
but not this year.....3
Children never attended to
school.....4

Code 7 Non attendance

Cannot afford expenses.....1
Children must work.....2
Too young.....3
Other reason.....4

Household id : _____

Date of the 1st visit: ____/____

	2.11. <i>If > 6 years Old</i> Main occupation in the 12 past months (code 8) Primary Secondary		2.12. How many days in the past 12 months this person was sick and therefore unable to work?	2.13. Does this member have any chronic illness (e.g. chronic fever, heart disease, diabet, blood pressure, dysentery, etc.)?	2.14. Does this member have disabilities (e.g. blindness, body member lost, etc.)?	2.15. Clothing expenses in the past 12 months
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						

Code 8 Occupation

Self employed in agriculture.....	1
Self employed in non farm enterprise...	2
Student/pupile.....	3
Government employee.....	4
Salaried worker in agriculture.....	5
Salaried worker in non agriculture.....	6
Daily agricultural labor.....	7
Daily non agricultural labor.....	8
Domestic worker.....	9
Military service.....	10
Unemployed	
Looking for a job.....	11
Homework.....	12
Retired.....	13
Disable to work.....	14
Leisure.....	15

Household id : _____

2.16. In which year was your household established ? year

2.17. Where were born the following persons?

In this village.....1
Elsewhere in Yen Chau district....2
Elsewhere in Son La Province.....3
Elsewhere.....4

a. Household head	b. Father of the household head	c. Mother of the household head	d. Spouse	e. Father of the spouse	f. Mother of the spouse

2.18. Did you receive cash-gift in the past 12 months from any person (not a household member and not government or NGO)?

Yes..... 1

No.....2 >>3

2.18.1. Relation to household head or spouse (code 9)	2.18.2. Where does this person live? 1= Yen Chau district 2= Elsewhere in Vietnam 3= Abroad	2.18.3. How much did this person send you in the past 12 months? '000 dong

Code 9: Relation to the household head

1st degree relative..... 1

Other relative.....2

Non relative..... 3

¹ i.e. parents, sons and daughters, brothers and sisters and spouse.

Household id : _____

3. Housing indicators

Serial #	Questions	Response	Response Code
3.1	Is this dwelling owned by a member of your household?	<input type="text"/>	Yes 1 No 2 >> go to 3.4
3.2	If you sold this dwelling – including the land plot – today, how much would you receive for it?	<input type="text"/>	‘000 VND
3.3	Estimate please, the amount of money you could receive as rent if you let this dwelling – including the land plot – to another person.	<input type="text"/>	A. ‘000 VND
	>>skip to 3.9.	<input type="text"/>	B. Time unit Day..... 1 Week 2 Fortnight 3 Month..... 4 Quarter 5 Half Year 6 Year..... 7
3.4	Do you rent this dwelling for goods, services or cash?	<input type="text"/>	Yes 1 No 2 >> go to 3.9
3.5	How much does your household pay in cash to rent this dwelling?	<input type="text"/>	A. ‘000 VND
	Interviewer: If does not pay in cash write zero “0”.	<input type="text"/>	B. Time unit Day..... 1 Week 2 Fortnight 3 Month..... 4 Quarter 5 Half Year 6 Year..... 7
3.6	Does your household pay any of the rent by goods or services?	<input type="text"/>	Yes 1 No 2 >> go to 3.8
3.7	What is the approximate value of the goods and services paid by your household?	<input type="text"/>	A. ‘000 VND
	Interviewer: If does not pay in kind write zero “0”.	<input type="text"/>	B. Time unit Day..... 1 Week 2 Fortnight 3 Month..... 4 Quarter 5 Half Year 6 Year..... 7

Household id : _____

Serial #	Questions	Response	Response Code
3.8	Does your rent include any of the following?		
	A. Furniture	<input type="text"/>	Yes 1 No 2
	B. Electricity	<input type="text"/>	Yes 1 No 2
	D. Water	<input type="text"/>	Yes 1 No 2
3.9	How much did your household pay in the past 12 months for the following services? <i>Interviewer: If the household did not pay anything, write zero "0".</i>		
	A. Electricity	<input type="text"/> '000 VND	If the household did not pay anything, write zero "0".
	B. Water	<input type="text"/> '000 VND	If the household did not pay anything, write zero "0".
	C. Telephone	<input type="text"/> '000 VND	If the household did not pay anything, write zero "0".

Household id : _____

3.10. What kind of lock does the main entrance have?
(Gather this information through observation only)

- No lock.....1
 Wood or metal bar to close from inside only.....2
 Key lock.....3
 Security key lock/metal frame with padlock.....4

3.11. How many rooms does the dwelling have ?

*(Include detached rooms in same compound if same household
 Exclude bathrooms, toilets, kitchen and basement)*

Number

3.12. What is the size of these rooms in squared meter?

Main living room

Other room-1

Other room-2

Other room-3

m²

3.13. What type of roofing material is used in the house?

- Straw leaves.....1
 Wood, bamboo.....2
 Canvas, tar paper.....3
 Panels (wood).....4
 Galvanised iron.....5
 Tile.....6
 Big Tile.....7
 Concrete.....8

3.14. What type of exterior walls does the house have?

- Leaves, branches.....1
 Bamboo.....2
 Wood.....3
 Galvanized iron.....4
 Earth.....5
 Brick, stone.....6
 Concrete.....7

3.15. What type of flooring does the main room have?

- Earth.....1
 Bamboo.....2
 Wood.....3
 Concrete.....4
 Brick.....5
 Concrete with additional covering.....6

3.16. What type of cooking fuel source is primarily used?

- Leaves/ grass/ rice husks/ stubble/ straw /
 thatch/ stems.....1
 Wood.....2
 Coal/ charcoal.....3
 Kerosene.....4
 Biogas.....5
 Bottled gas.....6
 Electricity.....7

Household id : _____

3.17. What is the main source of lighting for your main living rooms?

- Cannot afford lighting at night.....1
- Candles/ battery lamp/ Resin torches.....2
- Gas, oil, kerosene lamp.....3
- Electricity (public, shared connection).....4
- Electricity (public, owned connection).....5
- Generator.....6

3.18. What is your primary source of drinking water?

- River, lake, spring, pond.....1
- Rain water.....2
- Public well – open.....3
- Public well – sealed with pump.....4
- Public tap.....5
- Well in residence yard – open.....6
- Well in residence yard – sealed with pump.....7
- Outside tap.....8
- Inside tap.....9

3.19. What type of toilet facility do you have?

- Bush, field.....1
- Shared kneel-down toilet.....2
- Owned kneel-down toilet.....3
- Shared sit-down toilets.....4
- Owned sit-down toilets.....5
- Shared flush toilets.....6
- Owned flush toilets.....7

3.20. Where do you usually cook your meals ?

- Outside.....1
- In one of the rooms in the house.....2
- In a separate kitchen.....3

3.21. Do you have any of the following utilities for your household ?

a.Piped water

b.Electricity

Yes, own connection..... 1

Yes, shared connection.....2

c.Telephone

No.....3

d.Mobile (cell phone)

4. Assets based indicators

4.1. Assets owned

Assets type and code	4.1.1. Number owned	4.1.2. Total resale value at the current market price ‘000 dong
Animals		
a. Buffalo		
b. Pig		
c. Goat		
d. Cattle		
e. Dog		
Farm assets		
f. Motor tiller		

4.2. Land use certificates:

4.2.1 Does your household own a Red book at the moment?

Yes..... 1

No..... 2 >> 4.2.4.

4.2.2. If yes, in which year did you get it for the first time? Year

4.2.3. How many plots are currently registered on your certificate?

a. Residential land

b. Perennial crop land

c. Agricultural land

4.2.4. Does your household own a Red book for forestry land at the moment ?

Yes..... 1

No..... 2 >> 4.3

4.2.5. If Yes, How many Red books does the household own?

2

Forest. Red book ID	4.2.6. In which year did you get it for the first time? YEAR	4.2.7. Is it a shared or individual certificate? 1= Shared 2= Individual	4.2.8. If shared, how many households own this certificate? NB OF HH	4.2.9. Number of plots currently registered on this book	4.2.10. Total area registered in the certificate M ²
1					
2					
3					

Household id : _____

4.3. Irrigation system on cultivated plots.

Type of irrigation system	Plot registered on Land Use Certificate				Other plots cultivated by the household					
	4.3.1. How many plots do you cultivate at the moment?		4.3.2. How many plots do you lend or rent out?		4.3.3. How many do you <u>rent or borrow from someone else?</u>		4.3.4. How many do you <u>rent or borrow from the commune/village?</u>		4.3.5. How many other plots do you cultivate?*	
	# plots	Total area m ²	# plots	Total area m ²	# plots	Total area m ²	# plots	Total area m ²	# plots	Total area m ²
TOTAL										
a. Technical irrigation ^{a/}										
b. Semi-technical irrigation ^{b/}										
c. Simple irrigation system ^{c/}										
d. No irrigation system										

a/ With concrete channels being fed by a reservoir or big river, and gates to regulate the water flow.

b/ Channels fed by a reservoir that ensure water for the whole year but with few or no gates to regulate the water flow.

c/ Earth channels fed by rain water or small streams without a system to regulate the water flow.

*explain:

5. Food consumption

5.1. a. Did any special event occur the last two days (for example, family event, guest invited, holiday festivity)

Yes.....1*

No.....2

**If the answer is 'Yes' the next question should refer to the last two days BEFORE the special event.*

b. How many meals were served to the household members during the last two days (or in the two days preceeding the special event)?

meals

5.2. Where there any special events in the last seven days? (for example, family event, celebration, etc.)

Yes.....1*

No.....2

**If the answer is "Yes", the "last seven days" the questions 5.3 - 5.5 should refer to the last seven days BEFORE the occurrence of the special event,*

5.3. During the last seven days, for how many meals were the following foods served in a main meal eaten by the household?

a. Fresh fish

b. Poultry

c. Beef, buffalo

d. Pork

meals served

Household id : _____

- 5.4. During the last seven days (or the last seven days before the special event), for how many days did a main meal consist of rice and vegetables only? (*i.e. without any animal protein*) # days
- 5.5. During the last seven days (or the last seven days before the special event), for how many meals was rice replaced by cassava, or sweet potatoe? # days
- 5.6. In the last 30 days, how many times did you buy rice?
- 5.7. During the last 30 days, was there some days where your household did not have enough to eat? If yes, how many days? # days
 No = 0,
 Yes, write # of days
- 5.8. a. What is the amount of rice that you have currently in the house for your own consumption? Kg of unhusked rice
- b. For how many days will your stock of rice last? # days

Now I will ask question about the food eaten in your household in the past 12 months

- 5.9. In the past 12 months did you and your household members feel that your food would run out before you had money to buy more/ or before the harvest?
 Yes.....1
 No.....2
- 5.10. In the past 12 months how often did you have to borrow food from relatives or neighbours to make a meal?
 Never.....1
 Rarely (1 to 6 times a year).....2
 Sometimes (7 to 12 times).....3
 Often (a few times almost every month).....4
 Mostly (this happened a lot).....5
- 5.11. a. Did you or another adult in your household skip meals during the past 12 months because you did not have enough money to buy food?
 Yes.....1
 No.....2 >> 6
- b. How often did that occur during the past 12 months?
 More than 180 days.....1
 Less than 180 but more than 30 days.....2
 Less than 30 days but more than 10 days.....3
 Less than 10 days last years.....4

6. Vulnerability and reliance to network in case of shocks – social capital

6.1. Occurrence of positive/negative events in the past five years?

We want to ask you about the events that affected your life in the **last five years**

6.1.1. Marriages of a first degree relative to household head or spouse?

No = 0

Yes, how many marriages ?

6.1.2. Birth of own child

No = 0

If yes, how many birth ?

6.1.3. Adoption of child

No = 0

If yes, how many adoptions?

6.1.4. We inherited major funds or assets

No = 0

If yes, what is the value?

‘000 dong

6.1.5 Did your household received dowry?

Yes..... 1

No..... 2

6.1.6. Did your household give dowry in the past five years?

Yes..... 1

No..... 2

6.1.7. Death of a working adult member.

No = 0

If yes, how many deaths ?

6.1.8. Serious illness (but not chronic) of a working adult member.

No = 0

If yes, how many members?

How many days in total?

6.1.9. Occurrence of serious chronic illness or major disability (e.g. blindness, loss of arm because of accident, etc.) or any household member.

Yes..... 1

No..... 2

6.1.10. A major working, income earning adult member left the household forever

Yes..... 1

No..... 2

6.1.11. Death of a dependant member (child or elderly person)

No = 0

If yes, how many deaths ?

6.1.12. Relocation of residence because of a natural disasters (flood, landslide, etc.)

Yes..... 1

No..... 2

6.1.13. During the last 5 years, did your household experience a complete failure in your own crop production (e.g. sickness of plants causing failure of harvest, flood, drought, etc.)

Yes..... 1

No..... 2

Not applicable..... 3

6.1.14. During the last 5 years, did your household lost one or some of your cows/ buffalo (e.g. because of sickness and/or death or theft)?

Yes..... 1

No..... 2

Not applicable..... 3

Household id : _____

6.2. Membership in association, group or organization

We want to ask now questions about the associations in which you or members of your household participate and has membership, including communist party, mass organisation or any other kind of organisation.

(interviewer, ask the question for each member over 15, to be sure to enter in the table below all the organisation the household participates in. If a member has membership in several organizations, then enter his ID several time in the first column and fill a line for each organization he participates in)

6.2.1. ID of hh member (use ID from family roster)	6.2.3. Type of organization (code 1)	6.2.4. Degree of participation (code 2)	6.2.5. During the past 12 months, did you make contributions to this organization... In cash.....1 In kind (e.g. labor, etc.)....2

Code 1 type of organization

Mass organisation

Farmer Union.....	1
Women Union.....	2
Youth Union.....	3
Veteran Union.....	4
Fatherland Front.....	5
Eldery Union.....	6

NGO providing services

NGO providing extension service.....	7
NGO providing microfinance services.....	8
Other NGO (family planning, health care, school education, and services for any other social sector).....	9
VBSP Credit group.....	10
Other formal Credit group.....	11
Other informal credit/finance group.....	12
Environmental group.....	13

Agriculture/trade organization

Extension club.....	14
Cooperative.....	15
Traders association.....	16
Professional association.....	17
Trade union.....	18
Hobby club.....	19

Political organization

Communist Party.....	20
People's committee.....	21
Ethnic committee.....	22

Other local groups/organization

Religious group.....	23
Cultural association.....	24
Parent group.....	25
School committee.....	26
Health committee.....	27
Sport group.....	28
Other (specify)	29

Code 2 degree of participation

Leader.....	1
Very active (other responsibility than leader).....	2
Active.....	3
Give help from time to time.....	4
Not active.....	5

Other Pages? ☐

Household id : _____

6.3. Access to services and safety nets

6.3.1. How would you qualify your access to the services listed below on a scale from 1 to 5 ?

(1= very poor access, 5= very good access)

- a. Education/schools
- b. Health services/clinic
- c. Housing assistance
- d. Job training/employment
- e. Credit/finance
- f. Transportation
- g. Drinking water distribution
- h. Water distribution for irrigation
- i. Agricultural extension
- j. Sanitation service
- k. Justice/ conflict resolution
- l. Security/ police services

6.3.2. Here are listed some services offered by the government to reduce poverty,

Name of the service	6.3.2.1. Do you know about it ? 1= Yes 2= No>> next row	6.3.2.2. If yes, have you received such support in the last 5 years (since 2002)? 1= Yes 2= No>> next row	6.3.2.3. If yes, in which years did you receive it ? (write several years if received more than one) Year(s)	6.3.2.4. Amount received in the past 12 months ? '000 dong
a. Provision of Household Poor Certificate				
b. Access to loan with low interest rate				
c. Free health care/insurance				
d. Education tuition exemption and reduction/ free textbooks				
e. Kids are studying in new schools and classrooms				
f. Receiving support of accomodation or in house repairs/construction				
g. Monetary assistance				

6.3.3. How was your household classified by the commune in...

Hungry.....	1	...2002?	
Poor.....	2	...2003?	
Medium.....	3	...2004?	
Better-off.....	4	...2005?	
Rich.....	5	...2006?	
Do not know.....	6		

Household id : _____

6.4. Social and political capital

6.4.1. How many people do you or any member of your household know personally and who work in the following organisations? (please record only two way relationships)

Type of organisation	Level (i.e. administrative unit where the household is currently living)	6.4.1.1. How many do you know?	6.4.1.2. How many are relatives of the household head or the spouse?	6.4.1.3. How many are close friends of household member?
Communist party	Commune			
Communist party	District			
Communist party	Province			
People's committee	Commune			
People's committee	District			
People's committee	Province			
Women Union	Commune			
Women Union	District			
Women Union	Province			
Fatherlands front union	Commune			
Fatherlands front union	District			
Fatherlands front union	Province			

6.4.2. In case of shock/problem such as the ones listed below, is it easy or not to resort to different persons of your network (listed below) ?

easy..... 1
not easy..... 2

Nature of problem	First degree relatives	Other relatives	Friends/ Neighbour	Village head	Mass organisation.
a. Borrow money for education					
b. Borrow money for health expenses					
c. Borrow money for any positive event					
d. Borrow money for any negative event					
e. Borrow a water buffalo					
f. Ask for labour					

6.4.3. Please tell me if in general you agree or disagree with the following statements:

Strongly agree..... 1
Agree..... 2
Disagree..... 3
Strongly disagree..... 4

Interviewer, read slowly the following statement to the respondent:

a. Most people in this village are basically honest and can be trusted.

b. People are interested only in their own welfare.

c. If I have a problem, there is always someone to help me.

d. If you loose an animal (pig, poultry, or goat) someone in the village would help look for it or would return it to you.

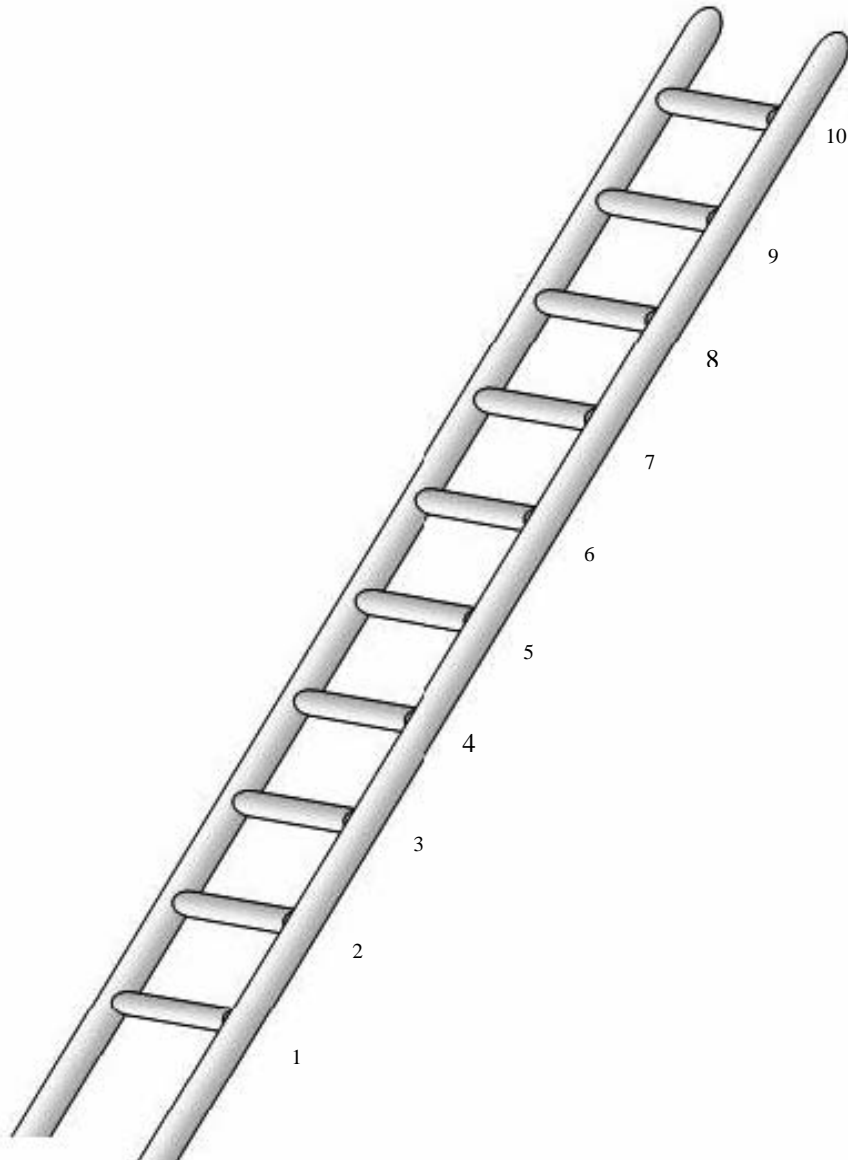
Household id : _____

7. Estimates of objective and subjective poverty

Interviewer: please show to the respondent the picture of a 10 step ladder.

7.1. Here is a picture of a 10-step ladder (see next page). Imagine that at the bottom, on the first step, stand the poorest people, and on the highest step, the tenth, stand the rich in Yen Chau district. On which step of this ladder is your household located ?

7.2. Where on this ladder would you locate a household (husband, wife, 2 children or other dependants) who has an income equal to 800 thousand VND per month ?



8. Credit and saving

8.1. Does the household head is currently borrowing a loan...

- a. from the VBARD? 1= Yes
2= No
- b. from the VBSP?
- c. from other formal institution ?
(i.e. other banks, NGO, mass organisation, etc.)

8.2. Does the spouse of the household head is currently borrowing a loan...

- a. to the VBARD? 1= Yes
2= No
- b. to the VBSP?
- c. To other formal institution?

8.3. Does any one else in the household is curently borrowing a loan...

- a. to the VBARD? 1= Yes
2= No
- b. to the VBSP?
- c. To other formal institution?

8.4. Does the household head have...

- a. Passbook savings accounts? 1= Yes
2= No
- b. Fixed term deposit accounts?
- c. Checking accounts (incl. ATM)?

8.5. Does the spouse of the household head have...

- a. Passbook savings accounts? 1= Yes
2= No
- b. Fixed term deposit accounts?
- c. Checking accounts (incl. ATM)?

8.6. Does someone else in the household have...

- a. Passbook savings accounts? 1= Yes
2= No
- b. Fixed term deposit accounts?
- c. Checking accounts (incl. ATM)?

Thank the respondant for his time,
Give the present allocated to the household,
And make an appointment for the next interview: i.e. in the next 14 days:

Date ____/____/____ Time ____:____

Household id : _____

Household id : _____